aliceblue

VOLUNTARY DOCUMENTS

RUNNING ACCOUNT AUTHORISATION

I/We are dealing through you as a client in order to facilitate ease of operations and upfront requirement of margin for trade. I/We request you to maintain running balance in my own/our account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account. I/We request you to settle my/our fund and securities account (choose any one).

☑ Quarterly or ☐ Monthly

Except the funds given towards collaterals / margin in the form of Bank Guarantee and / or Fixed Deposit Receipt.

In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, claculated in the manner specified by the exchanges. I/We confirm you that I/We will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office. I/We confirm you that I/We ca revoke the above mentioned authority at any time.

DISCLOSURE FOR PROPRIETARY TRADING BY ABFSPL

Pursuant to SEBI Circular Number SEBI/MRD/SEC/Cir-42/2003 dated November 19,2003.
ABFSPL discloses to its clients about its policies on Proprietary trades. ABFSPL does proprietary trades in the cash and derivatives segment.

CONSENT FOR ADDITIONAL RIGHTS & OBLIGATIONS / TARIFF SHEET

I acknowledge and declare that i have received in physical / electronic, read and understood, acknowledge and agreed to the contents of-

1.The rights and obligations docuemnt [Part-A (page 1-4)]

2.The risk disclosure document [Part-A (page5-9)]

3.The policies and procedures [Part-A (page9-11)]

4.RMS Policy [Part-A (page12-13)]

5.The additional rights and obligations document [Part-A (page14-18)]

6.Terms And Conditions for availing Transaction Using Secured Texting(TRUST) Service offered by CDS[Part-B (page1)]

DOWNLOAD FORMS AT,
(www.aliceblueonline.com)

NAME :

DATE : / / **PLACE :**